

Form 210A (10/06)

**United States Bankruptcy Court  
Southern District Of New York**

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Deutsche Bank AG, London

Name of Transferor

ADAR Investment Fund Ltd.

Name and Address where notices to transferee  
should be sent:

Deutsche Bank AG, London  
60 Wall Street  
3<sup>rd</sup> Floor  
New York, NY 10005  
Attention: Rich Vichaidith  
Phone: 212-250-5760

Court Claim # (if known): 312  
Amount of Claim: \$2,355,771.00  
Date Claim Filed: October 14, 2008

Phone: N/A

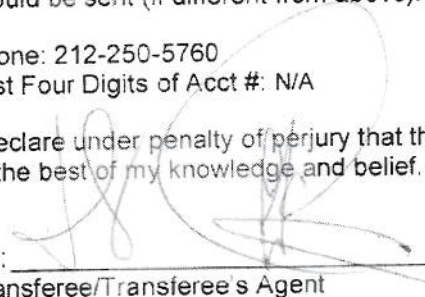
Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments  
should be sent (if different from above):

Phone: 212-250-5760  
Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: July 1, 2010

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Duncan Robertson  
Director

Anil Das  
Director

EVIDENCE OF TRANSFER OF CLAIM

EXHIBIT B

TO: United States Bankruptcy Court for the  
Southern District of New York ( "Bankruptcy Court" )  
Attn: Clerk

AND TO: Lehman Brothers Holdings Inc. ("Debtor")  
Case No. Case No. 08-13555

Claim #: 312

ADAR INVESTMENT FUND LTD. and its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

DEUTSCHE BANK AG, LONDON  
c/o Deutsche Bank Securities Inc.  
60 Wall Street, 3<sup>rd</sup> Floor  
New York, NY 10005  
Attn: Rich Vichaidith  
Phone: 212-250-5760

and its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, replevin and reclamation, in the principal amount of \$2,355,771.00 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated July 23 2010.

ADAR INVESTMENT FUND LTD.  
By: *ADAR Investment Management LLC*

By: *Adam W. Morse*  
Name: *Adam Morse*  
Title: *Chief Operating Officer*

Form 210A (10/06)

**United States Bankruptcy Court  
Southern District Of New York**

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Deutsche Bank AG, London

ADAR Investment Fund Ltd.

Name and Address where notices to transferee  
should be sent:

Deutsche Bank AG, London  
60 Wall Street  
3<sup>rd</sup> Floor  
New York, NY 10005  
Attention: Rich Vichaidith  
Phone: 212-250-5760

Court Claim # (if known): 314  
Amount of Claim: \$2,355,771.00  
Date Claim Filed: October 14, 2008

Phone: N/A

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments  
should be sent (if different from above):

Phone: 212-250-5760  
Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Anil Das  
Director

Date: July 1, 2010

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Duncan Robertson  
Director

EVIDENCE OF TRANSFER OF CLAIM

EXHIBIT B

TO: United States Bankruptcy Court for the  
Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Special Financing Inc. ("Debtor")  
Case No. Case No. 08-13555

Claim #: 314

ADAR INVESTMENT FUND LTD., and its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

DEUTSCHE BANK AG, LONDON  
c/o Deutsche Bank Securities Inc.  
60 Wall Street, 3<sup>rd</sup> Floor  
New York, NY 10005  
Attn: Rich Vichaidith  
Phone: 212-250-5760

and its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, replevin and reclamation, in the principal amount of \$2,355,771.00 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated 23, 2010.  
*SMC*

ADAR INVESTMENT FUND LTD.

By: *Adam W. Morse*  
*ADAR Investment Management LLC*

By: *Adam W. Morse*  
Name: *Adam Morse*  
Title: *Chief Operating Officer*

Form 210A (10/06)

**United States Bankruptcy Court  
Southern District Of New York**

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Deutsche Bank AG, London

Name of Transferor

ADAR Investment Fund Ltd.

Name and Address where notices to transferee  
should be sent:

Deutsche Bank AG, London  
60 Wall Street  
3<sup>rd</sup> Floor  
New York, NY 10005  
Attention: Rich Vichaidith  
Phone: 212-250-5760

Court Claim # (if known): 6489  
Amount of Claim: \$2,091,145.98  
Date Claim Filed: July 28, 2009

Phone: N/A

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments  
should be sent (if different from above):

Phone: 212-250-5760  
Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: July 1, 2010

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Duncan Robertson  
Director

Anil Das  
Director

EVIDENCE OF TRANSFER OF CLAIM

EXHIBIT B

TO: United States Bankruptcy Court for the  
Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Holdings Inc. ("Debtor")  
Case No. Case No. 08-13555

Claim #: 6489

ADAR INVESTMENT FUND LTD. and its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

DEUTSCHE BANK AG, LONDON  
c/o Deutsche Bank Securities Inc.  
60 Wall Street, 3<sup>rd</sup> Floor  
New York, NY 10005  
Attn: Rich Vichaidith  
Phone: 212-250-5760

and its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, replevin and reclamation, in the principal amount of \$2,091,145.98 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated June 23 2010.

ADAR INVESTMENT FUND LTD.  
By: ADAR Investment Management LLC

By: Taron W. Morse  
Name: Taron Morse  
Title: Chief Operating Officer

Form 210A (10/06)

**United States Bankruptcy Court  
Southern District Of New York**

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Deutsche Bank AG, London

ADAR Investment Fund Ltd.

Name and Address where notices to transferee  
should be sent:

Deutsche Bank AG, London  
60 Wall Street  
3<sup>rd</sup> Floor  
New York, NY 10005  
Attention: Rich Vichaidith  
Phone: 212-250-5760

Court Claim # (if known): 6490  
Amount of Claim: \$2,091,145.98  
Date Claim Filed: July 28, 2009

Phone: N/A

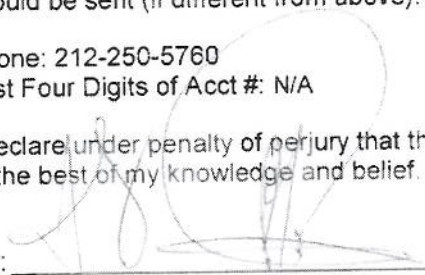
Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments  
should be sent (if different from above):

Phone: 212-250-5760  
Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: July 1, 2010

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Duncan Robertson  
Director

Anil Das  
Director

EVIDENCE OF TRANSFER OF CLAIM

EXHIBIT B

TO: United States Bankruptcy Court for the  
Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Special Financing Inc. ("Debtor")  
Case No. Case No. 08-13555  
Claim #: 6490

ADAR INVESTMENT FUND LTD., and its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

DEUTSCHE BANK AG, LONDON  
c/o Deutsche Bank Securities Inc.  
60 Wall Street, 3<sup>rd</sup> Floor  
New York, NY 10005  
Attn: Rich Vichaidith  
Phone: 212-250-5760

and its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, replevin and reclamation, in the principal amount of \$2,091,145.98 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated July 2, 2010.

ADAR INVESTMENT FUND LTD.  
By: *ADAR Investment Management LLC*

By: *Aaron W. Morse*  
Name: *Aaron Morse*  
Title: *Chief Operating Officer*

Form 210A (10/06)

**United States Bankruptcy Court  
Southern District Of New York**

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Name of Transferor

Deutsche Bank AG, London

Piper Jaffray Financial Products II Inc.

Name and Address where notices to transferee  
should be sent:

Deutsche Bank AG, London  
60 Wall Street  
3<sup>rd</sup> Floor  
New York, NY 10005  
Attention: Rich Vichaidith  
Phone: 212-250-5760

Court Claim # (if known): 26486  
Amount of Claim: \$724,976.16  
Date Claim Filed: Sept. 22, 2009

Phone: N/A

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments  
should be sent (if different from above):

Phone: 212-250-5760  
Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

Anil Das  
Director

By:   
Transferee/Transferee's Agent

Date: July 1, 2010

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Duncan Robertson  
Director

EXHIBIT B

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the  
Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Special Financing Inc. ("Debtor")  
In re: Lehman Brothers Holdings Inc., et al., Case Number 08-13555 (JMP) (Jointly Administered)

Claim(s) #: 26486

PIPER JAFFRAY FINANCIAL PRODUCTS II INC. and its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

DEUTSCHE BANK AG, LONDON  
c/o Deutsche Bank Securities Inc.  
60 Wall Street, 3rd Floor  
New York, NY 10005  
Tel: 212-250-5760  
Fax: 212-797-8770  
Attn: Rich Vichaidith  
Email: richard.vichaidith@db.com


its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, replevin and reclamation, in the principal amount of \$724,976.16 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated 6/24/2010.

PIPER JAFFRAY FINANCIAL PRODUCTS II INC.

By:   
Name: Francis E. Fairman, Jr.  
Title: President

Form 210A (10/06)

**United States Bankruptcy Court**  
**Southern District Of New York**

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Deutsche Bank AG, London

Name of Transferor

Piper Jaffray Financial Products II Inc.

Name and Address where notices to transferee  
should be sent:

Deutsche Bank AG, London  
60 Wall Street  
3<sup>rd</sup> Floor  
New York, NY 10005  
Attention: Rich Vichaidith  
Phone: 212-250-5760

Court Claim # (if known): 26487  
Amount of Claim: \$724,976.16  
Date Claim Filed: Sept. 22, 2009

Phone: N/A

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

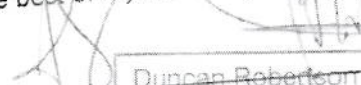
Name and Address where transferee payments  
should be sent (if different from above):

Phone: 212-250-5760  
Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

Anil Das  
Director

Date: July 1, 2010

By:   
Transferee/Transferee's Agent  
Director

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EXHIBIT B

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the  
Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brother Holdings Inc. ("Debtor")  
In re Lehman Brothers Holdings Inc., et al., Case Number 08-13555 (JMP) (Jointly Administered)

Claim(s) #: 26487

TCW ABSOLUTE RETURN CREDIT FUND, L.P. and its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

DEUTSCHE BANK AG, LONDON  
c/o Deutsche Bank Securities Inc.  
60 Wall Street, 3rd Floor  
New York, NY 10005  
Tel: 212-250-5760  
Fax: 212-797-8770  
Attn: Rich Vichaidith  
Email: richard.vichaidith@db.com


its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, replevin and reclamation, in the principal amount of \$724,976.16 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated 6/24, 2010.

PIPER JAFFRAY FINANCIAL PRODUCTS II INC.

By:   
Name: **Francis E. Fairman, IV**  
Title: **President**